

Pantomath Capital Advisors Private Limited

(SEBI Registered Category - I Merchant Bankers)

Registration No. INM000012110

EQUITY MARKET - INVESTOR COMPLAINTS DATA
Name of Merchant Banker : Pantomath Capital Advisors Private Limited

SEBI Registration Number : INM000012110

Data for month ending : November 2025

Activity: Consolidated Report

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time^ (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges (if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
Grand Total		0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr. No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1.	Jul 2025	0	0	0	0
2.	Aug 2025	0	1	1	0
3.	Sep 2025	0	0	0	0
4.	Oct 2025	0	0	0	0
5.	Nov 2025	0	0	0	0
Grand Total		0	1	1	0

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-

Sr. No.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	0	0	0	0
2.	2022	0	21	21	0
3.	2023	0	4	4	0
4.	2024	0	10	10	0
5.	2025	0	1	1	0

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1. Category: Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS)

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time^ (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges (if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
Grand Total		0	0	0	0	0	0

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis)-

Sr. No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1	Jul 2025	0	0	0	0
2	Aug 2025	0	1	1	0
3	Sep 2025	0	0	0	0
4	Oct 2025	0	0	0	0
5	Nov 2025	0	0	0	0
Grand Total		0	1	1	0

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis)-

Sr. No.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the Particular year
1.	2021	0	0	0	0
2.	2022	0	0	0	0
3.	2023	0	3	3	0
4.	2024	0	10	10	0
5.	2025	0	1	1	0

2. Category: Rights Issue

Sr. No.	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month	Total Pending during the particular month	Pending complaints > 1 month	Average Resolution time [^] (in days)
1.	Directly from Investors	0	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0	0
3.	Stock Exchanges (if relevant)	0	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0	0
Grand Total		0	0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints (For 5 months on rolling basis):-

Sr. No.	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month	Pending at the end of the particular month
1.	Jul 2025	0	0	0	0
2.	Aug 2025	0	0	0	0
3.	Sep 2025	0	0	0	0
4.	Oct 2025	0	0	0	0
5.	Nov 2025	0	0	0	0
Grand Total		0	0	0	0

Trend of annual (Calendar year) disposal of complaints (for 5 years on rolling basis):-

Sr. No.	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1.	2021	0	0	0	0
2.	2022	0	21	21	0
3.	2023	0	1	1	0
4.	2024	0	0	0	0
5.	2025	0	0	0	0

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CIN : U64990MH2013PTC248061 

GSTIN : 27AAHCP3551H1ZC 

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3. **Category:** Qualified Institutions Placement (QIP)
4. **Category:** Preferential Issue
5. **Category:** SME IPOs & FPOs (including OFS)
6. **Category:** Buyback of Securities
7. **Category:** Delisting of Equity Shares
8. **Category:** Substantial Acquisitions of Shares and Takeovers
9. **Category:** Public Issue of Debt Securities
10. **Category:** Public Issue of Non-Convertible Redeemable Preference Shares (NCRPS)
11. **Category:** Private Placement of Debt Securities & Non-Convertible Redeemable Preference Shares (NCRPS)
12. **Category:** Public Offer of Units by REITS
13. **Category:** Public Offer of Units by INVITS
14. **Category:** Private Placement of Units by INVITS proposed to Be Listed
15. **Category:** Private Placement of Municipal Debt Securities

Note: We have not dealt in any of the categories from point no: 3 to 15 mentioned above, and there is no investor complaint to report on any of the said categories.