

Pantomath Capital Advisors Private Limited

(SEBI Registered Category - I Merchant Bankers) Registration No. INM000012110 CIN: U64990MH2013PTC248061

GSTIN: 27AAHCP3551H1ZC

info@pantomathgroup.com

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022 61946 700 🔇

EQUITY MARKET - INVESTOR COMPLAINTS DATA

Name of Merchant Banker : Pantomath Capital Advisors Private Limited

SEBI Registration Number : INM000012110

Data for quarter ending : July 2025 to September 2025

Activity: Consolidated Report

Sr. No.	Received from	Pending at the beginning of Quarter	Received during the Quarter	Resolved during the Quarter	Total Pending at the end of Quarter	Average Resolution time^\ (in days)
1.	Directly from Investors	0	0	0	0	0
2.	SEBI (SCORES)	0	1	1	0	1
3.	Stock Exchanges (if relevant)	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0
Grand Total		0	1	1	0	1

[^]Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current quarter divided by total number of complaints resolved in the current quarter.

1. Category: Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS)

Sr. No.	Received from	Pending at the beginning of Quarter	Received during the Quarter	Resolved during the Quarter	Total Pending at the end of Quarter	Average Resolution time^\ (in days)
1.	Directly from Investors	0	0	0	0	0
2.	SEBI (SCORES)	0	1	1	0	1
3.	Stock Exchanges (if relevant)	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0
Grand Total		0	1	1	0	1

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current quarter divided by total number of complaints resolved in the current quarter.



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2. Category: Rights Issue

Sr. No.	Received from	Pending at the beginning of Quarter	Received during the Quarter	Resolved during the Quarter	Total Pending at the end of Quarter	Average Resolution time^\ (in days)
1.	Directly from Investors	0	0	0	0	0
2.	SEBI (SCORES)	0	0	0	0	0
3.	Stock Exchanges (if relevant)	0	0	0	0	0
4.	Other Sources (if any)	0	0	0	0	0
Grand Total		0	0	0	0	0

[^] Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current quarter divided by total number of complaints resolved in the current quarter.

- 3. Category: Qualified Institutions Placement (QIP)
- 4. Category: SME IPOs & FPOs (including OFS)
- 5. Category: Preferential Issue
- **6.** Category: Buyback of Securities
- 7. Category: Delisting of Equity Shares
- 8. Category: Substantial Acquisitions of Shares and Takeovers
- 9. Category: Public Issue of Debt Securities
- 10. Category: Public Issue of Non-Convertible Redeemable Preference Shares (NCRPS)
- 11. Category: Private Placement of Debt Securities & Non-Convertible Redeemable Preference Shares (NCRPS)
- 12. Category: Public Offer of Units by REITS
- 13. Category: Public Offer of Units by INVITS
- 14. Category: Private Placement of Units by INVITS proposed to Be Listed
- 15. Category: Private Placement of Municipal Debt Securities

Note: We have not dealt in any of the categories from point no:3 to 15 mentioned above, and there is no investor complaint to report on any of the said categories.